

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (2/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 1060A
First Inventor or Application Identifier Peter L. Cassidy
Title Massage Device Phillips
Express Mail Label No. EV280704390 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
4. Oath or Declaration [Total Pages 5]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS) PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity Statement filed in prior application, Status still proper and desired
14. ☒ Statement(s) (PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.38).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application Information: Examiner _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	R. Keith Harrison				
Address	2139 E. Bert Kouns				
City	Shreveport	State	Louisiana	Zip Code	71105
Country	U.S.A.	Telephone	318/797-7160	Fax	318/797-3063

Name (Print/Type)	R. Keith Harrison	Registration No. (Attorney/Agent)	44,747
Signature	<i>R. Keith Harrison</i>	Date	8/4/03

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<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <p style="text-align: center;">Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor <u>Peter L. Cassidy Phillips</u>	
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT (\$)		375.00	
		Attorney Docket No. 1060A	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																													
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139 130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215 55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216 200</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>950</td><td>217 475</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,510</td><td>218 755</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>2,080</td><td>228 1,030</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219 155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220 155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221 135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240 55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1,320</td><td>241 660</td><td>Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1,320</td><td>242 660</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>450</td><td>243 225</td><td>Design issue fee</td></tr> <tr><td>144</td><td>670</td><td>244 335</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122 130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123 50</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>790</td><td>246 395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>149</td><td>790</td><td>249 395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td></tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65	Surcharge - late filing fee or oath	127	50	227 25	Surcharge - late provisional filing fee or cover sheet	139	130	139 130	Non-English specification	147	2,520	147 2,520	For filing a request for reexamination	112	920*	112 920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	115	110	215 55	Extension for reply within first month	116	400	216 200	Extension for reply within second month	117	950	217 475	Extension for reply within third month	118	1,510	218 755	Extension for reply within fourth month	128	2,080	228 1,030	Extension for reply within fifth month	119	310	219 155	Notice of Appeal	120	310	220 155	Filing a brief in support of an appeal	121	270	221 135	Request for oral hearing	138	1,510	138 1,510	Petition to institute a public use proceeding	140	110	240 55	Petition to revive - unavoidable	141	1,320	241 660	Petition to revive - unintentional	142	1,320	242 660	Utility issue fee (or reissue)	143	450	243 225	Design issue fee	144	670	244 335	Plant issue fee	122	130	122 130	Petitions to the Commissioner	123	50	123 50	Petitions related to provisional applications	126	240	126 240	Submission of Information Disclosure Stmt	581	40	581 40	Recording each patent assignment per property (times number of properties)	146	790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	149	790	249 395	For each additional invention to be examined (37 CFR 1.129(b))
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	<u>R. Keith Harrison</u>	Reg. Number	<u>44,747</u>
Signature	<u>R. Keith Harrison</u>	Date	<u>8/4/03</u>
		Deposit Account User ID	

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Title

Atty Docket Number

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

8/4/03

Date

R. Keith Harrison

Signature

R. Keith Harrison

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**